



PATENT
ATTY. DOCKET NO. IBM/67DV1

\$2186

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Galbraith et al.
Serial No. : 09/935,939
Filed : August 23, 2001
For : ADVANCED READ CACHE MANAGEMENT

Art Unit: 2186
Examiner: B. R. Peugh

RECEIVED

Assistant Commissioner of Patents
BOX: RESPONSE FEE
Washington, DC 20231

SEP 20 2002

Technology Center 2100

AMENDMENT TRANSMITTAL

1. X Transmitted herewith is a Response to Office Action including Clean Version of Amendments and Version with Markings to Show Changes Made for this application.
2. Small Entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.
 Enclosed is a verified statement to establish Small Entity status
X Other than a Small Entity
3. The fee has been calculated as shown below:

(Col. 1)		(Col. 2)		(Col. 3)	SMALL ENTITY		LARGE ENTITY	
Claims Remaining After Amendment		Highest No. Previously Paid For		Extra	Present Rate	Fee	Present Rate	Fee
TOTAL	23	MINUS	20	3	x \$9	\$0	x \$18	\$54
INDEP.	3	MINUS	3	0	x \$42	\$0	x \$84	\$0
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					+\$140	\$0	+\$280	\$0
TOTALS					TOTAL FEE	\$0	TOTAL FEE	\$54

X Additional fee for claims is required.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid in an envelope addressed to: Assistant Commissioner of Patents, Box: Response FEE, Washington, D.C. 20231 on: September 12, 2002

Thomas W. Humphrey
Reg. No. 34,353

4. X Attached is a check in the sum of \$ 54.00. Please charge my Deposit Account No. 23-3000 in the amount of \$ _____.

5. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply.

Complete (a) or (b) as applicable.

(a) _____ Applicant petitions for an extension of time under 37 CFR 1.136 for the total number of months checked below:

Extension <u>(months)</u>	Fee for other than <u>small entity</u>	Fee for <u>small entity</u>
one month	\$ 110.00	\$ 55.00
two months	\$ 400.00	\$200.00
three months	\$ 920.00	\$460.00
four months	\$1,440.00	\$720.00
five months	\$1,960.00	\$980.00

Extension fee due with this request \$ _____.

If an additional extension of time is required, please consider this a petition therefor. (Check and complete the next item, if applicable)

_____ An extension for _____ months has already been secured and the fee paid thereof of \$ _____ is deducted from the total fee due for the total months of extension now requested. Extension fee due with this request \$ _____.

OR

(b) x Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

X If any additional fee for claims or extension of time is required, charge Account No. 23-3000.

Respectfully submitted,



Thomas W. Humphrey
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